# **Radiative Processes**

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# Part VI

# **Radiative Transitions**



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#### 1.1- Interaction with electromagnetic radiation

The coupling term between charged particles and the electromagnetic field,  $\vec{p_i} \cdot \vec{A}(\vec{k} \cdot \vec{x} - wt)^1$ , can be expressed through an expansion in  $\vec{k} \cdot \vec{x}$  as  $H_{\text{int}} = H_{\text{d}} + H_{\text{M}} + H_{\text{Q}}$  (see Shu I,24), for which

$$H_{\rm d} = -\vec{E}\cdot\vec{d}$$
 (zeroth order)

where, for a molecule,  $\vec{d} = \vec{d}_{\rm el} + \vec{d}_{\rm nuc}$ .

$$H_{\rm M} = -\vec{B}\cdot\vec{M}$$
 (first order)

where the magnetic dipole moment  $\vec{M} \propto \vec{L}$ , and

$$H_{\rm Q} = -\frac{e}{6} \vec{\nabla} \vec{E} : (3\vec{x}\vec{x} - |\vec{x}|^2 \mathbb{I}) \text{ (also order one).}$$

In general  $H_{\rm M} > H_{\rm Q}$ .



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<sup>&</sup>lt;sup>1</sup>when substituting  $\vec{p} \rightarrow \vec{p} - \frac{q}{c}\vec{A}$ , and neglecting terms in  $A^2$  (OK for the ISM) see Shu I, 21

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#### 1.2- Bound-bound transition probabilities and cross-sections

In time-dependent perturbation theory, the rate of radiative excitations  $i \rightarrow f$  is:

 $rac{d {m P}_{if}}{dt} \propto \mathfrak{N}(\omega_{if}) \left| \langle \phi_f | {m H}_{
m int}(\omega) | \phi_i 
angle 
ight|^2.$ 

In a cubic box where the ocupation number of state  $\vec{n}$  is  $\mathfrak{N}$ , the density of states is  $d^{3}\vec{n} = Vd^{3}\omega/(2\pi c)^{3}$ , with a volume  $V \to \infty$ . The absorption cross-section  $\sigma_{if}$  derives from  $P_{if} = N_{f}/N_{i} \propto \#$  of absorbed photons <sup>2</sup>:

$$P_{if} = \int d^3 \vec{n} \, \frac{\mathfrak{N}(\vec{n})}{V} c \, t \, \sigma_{if} \, \Rightarrow \, \frac{dP_{if}}{dt} = \int_0^\infty \sigma_{if} \, c \, \mathfrak{N}(\omega) \, \frac{4\pi\omega^2}{(2\pi)^3 c^2} d\omega$$

Identifying (see Shu I, 22, 23), we obtain

$$\sigma_{\it if} \propto \left| \langle \phi_f | {m H}_{
m int}({m w}) | \phi_i 
angle 
ight|^2 \delta(\omega - \omega_{\it if}).$$

 $n = 2 \qquad \frac{\ell = 0}{A} \qquad j = \frac{1}{2} \qquad j = \frac{1}{2}$   $n = 1 \qquad j = \frac{1}{2} \qquad j = \frac{1}{2}$ 

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<sup>2</sup>note optically thin case:  $dN_f = -\Gamma N_f dt + \frac{dP_{if}}{dt} N_i dt$ .

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#### 1.3- Oscilator strength

For the electric dipole Hamiltonian, one gets

$$\sigma_{\it if} = rac{4\pi^2}{3\hbar c} \left| \langle \phi_f | ec{m{d}} | \phi_i 
angle 
ight|^2 \delta(\omega - \omega_{\it if}),$$

which is usually expressed in terms of the oscilator strength  $f_{if}$ ,

$$\sigma_{if} = \frac{\pi e^2}{m_e c} f_{if} \delta(\nu - \nu_{if}), \text{ con } f_{if} \equiv \frac{4\pi m_e}{3e^2 \hbar} \nu_{if} \left| \langle \phi_f | \vec{d} | \phi_i \rangle \right|^2.$$

For a single electron with position  $\vec{x}$ ,

$$f_{if} = rac{2m_e(\omega_{if}\langle f|ec{x}|i
angle)^2}{3\hbar w_{if}},$$

which is roughly the ratio between the vibrational potential energy of the electron and that of the radiated photon.



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#### 1.4- Relationship with Einstein coeficients

The equation of detailed balance,

$$n_i B_{if} J_{\nu_{if}} = n_f A_{fi} + n_f B_{fi} J_{\nu_{fi}},$$

and the LTE relationships,

$$rac{n_f}{n_i} = rac{g_f}{g_i} \exp(-rac{h
u_{if}}{kT}), ext{ and } J_
u = B_
u(T), ext{ lead to}$$
 $A_{fi} = rac{g_i}{g_f} (2h
u^3/c^2) B_{if}, ext{ } B_{fi} = rac{c^2}{2h
u^3} A_{fi} = (g_i/g_f) B_{if}.$ 



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#### 1.4- Relationship with Einstein coeficients

 The rate of stimulated excitations is related to the oscilator strength using the requirement

$$n_i B_{if} J_{
u_{if}} = \int d
u \int d\Omega \sigma_{if} n_i rac{J_
u}{h
u} = \int d
u 4\pi \sigma_{if} n_i rac{J_
u}{h
u}$$

• Therefore,

$$B_{if}=rac{4\pi^2}{h
u}rac{e^2}{m_ec}f_{if}.$$

 $n = 2 \xrightarrow{\ell = 0} \frac{j = \frac{1}{2}}{j = \frac{1}{2}} \xrightarrow{j = \frac{1}{2}} j = \frac{1}{2}$   $n = 1 \xrightarrow{\ell = 0} j = \frac{1}{2}$ 

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#### 1.5- Natural line width

- We consider an atom in state *i*, subjected to radiation with frequency  $\omega_{ji}$ , corresponding to the energy interval with a final state *f*.
- The wave function of the target can be expanded as

$$|\phi
angle = \sum_{j} c_{j} |\phi_{j}
angle.$$

• Denoting  $\Gamma = A_{ji}$ , then the probably amplitude for state *f* satisfies

$$\frac{d|c_f|^2}{dt} = -\Gamma|c_f|^2. \tag{4}$$

 In terms of the amplitude coefficients, Fermi's golden rule should be modified to account for spontaneous decay:

$$\dot{\boldsymbol{c}}_{f} = -i\hbar^{-1} \langle \phi_{f} | \boldsymbol{H}_{\alpha}^{\mathrm{abs}} | \phi_{i} \rangle \boldsymbol{e}^{(\omega_{fi} - \omega)t} - \frac{\Gamma}{2} \boldsymbol{c}_{f}.$$
(5)

 $n = 2 \xrightarrow{\ell = 0} \frac{j = \frac{1}{2}}{j = \frac{1}{2}} \frac{j = \frac{3}{2}}{j = \frac{1}{2}}$   $n = 1 \xrightarrow{\ell = 0} \frac{j = \frac{1}{2}}{j = \frac{1}{2}}$ 

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- We can solve Eq. 5 recognizing  $e^{\Gamma t/2}$  as an integrating factor.
- After taking the modulus, in the limit  $t \to \infty$ ,

$$|c_f(\infty)|^2 = \hbar^{-2} rac{\left|\langle \phi_f | H^{
m abs}_lpha | \phi_i 
angle 
ight|^2}{(\omega_{fI} - \omega)^2 + (\Gamma/2)^2}.$$



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#### **1.6- Selection rules**

- Electric dipole
  - atoms:  $\Delta l = 1$ ,  $\Delta m = 0$ .
  - molecules:
    - vibrational-rotational transitions, or rovibrational, ΔJ = ±1, Δm = 0,Δv = ±1, allowed when Λ ≠ 0, ΔJ = 0<sup>3</sup>.
    - electronic transitions,  $\Delta \Lambda = 0, \pm 1, \Delta S = 0$
    - electronic-vibrational-rotational transitions (i.e. *vibronic* transitions):  $\Delta J = 0, \pm 1$ ,  $\Delta m = 0, \pm 1$  and  $\Delta J \neq 0$  si  $\Lambda = \Delta \Lambda = 0$  and if J = 0.

 $\Delta J = \left\{ egin{array}{cc} +1 
ightarrow {\sf R} {
m branch} \ 0 
ightarrow {\sf Q} {
m branch} \ -1 
ightarrow {\sf P} {
m branch} \end{array} 
ight.$ 

- magnetic dipole, atoms:  $\Delta I = 0$ ,  $\Delta m = 0, \pm 1$ .
- electric quadrupole, atoms: Δ*I* = 0, ±2, Δ*m* = 0, ±1, ±2, rotational transitions in molecules Δ*J* = 0, ±1, ±2.



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<sup>&</sup>lt;sup>3</sup>Lambda doubling, two states  $\pm \Lambda$  for each J. Example: hyperfine structure of the OH  $\Lambda$  doublet at  $\sim$ 1.7 GHz.

### **1.6- Selection rules- CO** Subaru - IRCS + echelle & X-disperser (Goto et al. 2003, ApJ, 598, 1038)



 $n=2 \xrightarrow{\ell=0} j=\frac{1}{2} \xrightarrow{j=\frac{1}{2}} j=\frac{1}{2}$   $n=1 \xrightarrow{\ell=0} j=\frac{1}{2} \xrightarrow{j=\frac{1}{2}} j=\frac{1}{2}$ 

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#### 1.7- Selection rules – H<sub>2</sub>

- In the case of  $H_2$  we have  $\vec{d} = 0$ . Moreover the fundamental state is  $\vec{L} = 0$ , and  $\vec{S} = 0$ , so that  $\vec{M} = 0$  and all low-energy transitions for  $H_2$  are quadrupolar.
- Note that the antisymmetry of the nuclear wave function implies that the state J = 1 (*J* odd) is triplet (**Ortho** H<sub>2</sub>,  $I = S_{nuclear} = 1$ ), while J = 0 (*J* even) is singlet (**Para** H<sub>2</sub>, I = 0).
- In H<sub>2</sub> the exclusion principle <sup>4</sup> forbids  $\Delta J = 1$ , unless the transition involves a change in spin state. The spin transitions can only occur through the exchange of protons in collisions. Radiative transitions between spin states can occur, but at a rate corresponding to the quadrupolar transitions in the Hamiltonian of the deviations to the Born-Oppenheimer approximations.



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<sup>&</sup>lt;sup>4</sup>the requirement that the wave function be antisymmetric

- In the ISM, Ortho and Para H<sub>2</sub> are effectively different molecules. The distinction extends to all molecules that contain H<sub>2</sub> radicals.
- Rovibrational transitions between an upper level<sup>1</sup> and a lower level<sup>2</sup> are written  $(v_1 - v_2)O(J_2)$  when  $J_2 - J_1 = -2$ ,  $(v_1 - v_2)Q(J_2)$  when  $J_2 - J_1 = 0$ ,  $(v_1 - v_2)S(J_2)$  when  $J_2 - J_1 = +2$ .



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#### 2.1- Photoionization

In time-dependent perturbation theory, the rate of transition between two states,  $i \rightarrow f$ , is:

$$\frac{dP_{if}}{dt} = \frac{e^2}{hc^3m_e^2}\sum_{\alpha=1}^2\int\omega_{fi}\,\mathcal{N}_{\alpha}(\vec{k})\,|\langle\phi_f|e^{i\vec{k}\cdot\vec{x}}\vec{e}_{\alpha}\cdot\vec{p}|\phi_i\rangle|^2\,d\Omega,$$

where  $\mathcal{N}(\vec{k})$  is the occupation number of photons in the state corresponding to  $\vec{k}$ , with frequency  $\nu_{fi}$ .

In a photoionization process the final states *f* belong to the continuum. The Born approximation neglects the influence of the ion on  $|\phi_f\rangle$ , and for a description of the continuum we adopt a hard box normalization, with a size  $L \to \infty$ . With *i* corresponding to the fundamental state of the hydrogen atom, we obtain (Shu I, 23),

$$rac{d {P_{if}}}{dt} \propto \omega_{fi}^{-3} \mathcal{N}(\omega), ext{ where } \mathcal{N}(\omega) = \int d\Omega \mathcal{N}(ec{\omega}).$$



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#### 2.1- Photoionization

The rate of absorption of ionizing photons with frequencies in the range  $[\nu, \nu + \nu]$  is  $dN_f \frac{dP_{if}}{dt}$ , where  $dN_f$  is the number of free states in the corresponding range of energies,

$$dN_f=rac{V}{2\pi^3}4\pi\;k_e^2\;dk_e$$

where  $\vec{k_e}$  refers to the free electron. The cross-section of ionization is defined through

$$P_{if}dN_f = t \sigma_{if}(\omega)c \frac{\mathcal{N}(\vec{n})}{V} 4\pi n^2 dn$$
, with  $\frac{d^3\vec{n}}{V} = \frac{d^3\omega}{(2\pi)^3 c^3}$ .

Identifying for  $\sigma(\nu)$  we get

$$\sigma(\nu) \propto \nu^{-3} g(\nu),$$

where  $g(\nu)$  is a gaunt factor,  $g(\nu) \propto \nu^{-1/2}$ , in the Born approximation, which is valid far from the ionization edge  $\nu_{\circ}$ .  $g_{\nu} \approx 1$  in the vicinity of  $\nu_{\circ}$ , where the free-particle approximation breaks down.



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#### 2.1- Photoionization





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#### 2.2- Radiative recombination

Photoionization and its inverse process, radiative recombination, are related by the Einstein - Milne relations (e.g. Osterbrock, A1; Shu I,75; Spitzer p104)). The detailed balance between photon absorptions with frequency  $\nu$  and electron-ion recombinations with relative velocity  $\nu$  is

$$n_{\mathrm{X}} a_{\nu} 4\pi \frac{B_{\nu}}{h\nu} d\nu = n_{\mathrm{X}^{+}} n_{e} v \sigma(v) f(v) dv + n_{\mathrm{X}^{+}} n_{e} \sigma_{2}(v) B_{\nu} v f(v) dv,$$

where  $\frac{1}{2}mv^2 + h\nu_T = h\nu$ , and where f(v) is the Maxwellian integrated over angles. We get (tarea) that  $\sigma_2 = \sigma/(2h\nu^3/c^2)$ , and

$$\sigma(\mathbf{v}) = \frac{g}{g_+} \frac{h^2 \nu^2}{m^2 c^2 v^2} a_{\nu}$$

where g and  $g_+$  are the degeneracies of X and  $X^+$  in their fundamental levels.

$$n = 2 \xrightarrow{\ell = 0} \frac{j = \frac{1}{2}}{j = \frac{1}{2}} \frac{j = \frac{3}{2}}{j = \frac{1}{2}}$$

$$n = 1 \xrightarrow{\ell = 0} \frac{j = \frac{1}{2}}{j = \frac{1}{2}}$$

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