

Part II

Electromagnetic wave propagation

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1 Electromagnetic waves

1.1 Maxwell Equations

- In the MKS system (or S.I.), the equations of electrodynamics are, :

$$\vec{\nabla} \cdot \vec{D} = \rho, \tag{1}$$

$$\vec{\nabla} \cdot \vec{B} = 0, \tag{2}$$

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}, \tag{3}$$

$$\vec{\nabla} \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t}. \tag{4}$$

- For linear media, $\vec{D} = \epsilon \vec{E}$ and $\vec{B} = \mu \vec{H}$.
- In vacuum, $\epsilon = \epsilon_o$ and $\mu = \mu_o$.

1.2 Electrodynamic potentials

- Since $\vec{\nabla} \cdot \vec{B} = 0$, we have

$$\vec{B} = \vec{\nabla} \times \vec{A}. \quad (5)$$

- For \vec{E} , we use Eq. 5 and Eq. 3:

$$\begin{aligned} \vec{\nabla} \times \underbrace{\left(\vec{E} + \frac{\partial \vec{A}}{\partial t} \right)}_{\equiv -\vec{\nabla} \Phi} &= 0, \Rightarrow \\ \vec{E} &= -\vec{\nabla} \Phi - \frac{\partial \vec{A}}{\partial t}. \end{aligned} \quad (6)$$

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1.3 Wave equations

- We want to write equations that determine the electrodynamic potentials \vec{A} and Φ .
- Using the Maxwell Equations in vacuum to connect directly with \vec{E} and \vec{B} , we have

$$\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\epsilon_0} \Rightarrow \nabla^2 \Phi + \frac{\partial}{\partial t} (\vec{\nabla} \cdot \vec{A}) = \frac{\rho}{\epsilon_0}, \quad (7)$$

$$\begin{aligned} \vec{\nabla} \times \frac{1}{\mu_0} \vec{B} &= \vec{J} + \frac{1}{\epsilon_0} \frac{\partial \vec{E}}{\partial t} \Rightarrow \\ \nabla^2 \vec{A} - \frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} - \underbrace{\vec{\nabla} \left(\vec{\nabla} \cdot \vec{A} + \frac{1}{c^2} \frac{\partial \Phi}{\partial t} \right)}_{\text{term for the Lorentz condition}} &= -\mu_0 \vec{J}. \end{aligned} \quad (8)$$

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- If the term highlighted in Eq. 8 is null, which is called the *Lorentz Condition*,

$$\vec{\nabla} \cdot \vec{A} + \frac{1}{c^2} \frac{\partial \Phi}{\partial t} = 0, \quad (9)$$

then we recover the wave equation for the potentials:

$$\nabla^2 \Phi - \frac{1}{c^2} \frac{\partial^2 \Phi}{\partial t^2} = -\frac{\rho}{\epsilon_0}, \quad (10)$$

$$\nabla^2 \vec{A} - \frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} = -\mu_0 \vec{J}. \quad (11)$$

- To fulfill the Lorentz condition, we use the freedom of gauge:

$$\vec{A} \longrightarrow \vec{A}' = \vec{A} + \vec{\nabla}\Lambda, \quad (12)$$

which leaves invariant $\vec{B} = \vec{\nabla} \times \vec{A}$.

- To also preserve $\vec{E} = -\vec{\nabla}\Phi - \partial\vec{A}/\partial t$, it is necessary that

$$\Phi \longrightarrow \Phi' = \Phi - \frac{\partial\Lambda}{\partial t}. \quad (13)$$

- If \vec{A} and Φ both fulfill the general potential equations (Eqs. 8 and 7), but do not fulfill the Lorentz condition, then we can search for $\Lambda(\vec{x}, t)$ so that \vec{A}' and Φ' do satisfy the Lorentz condition.
- Injecting Eqs. 12 and 13 in Eq. 9, we reach an equation for $\Lambda(\vec{x}, t)$:

$$\vec{\nabla} \cdot \vec{A} + \frac{1}{c^2} \frac{\partial\Phi}{\partial t} + \nabla^2\Lambda - \frac{1}{c^2} \frac{\partial^2\Lambda}{\partial t^2} = 0, \quad (14)$$

which is essentially a wave equation with a source term, i.e. exactly the type of equations that we will propose solutions for.

- Independently of the Lorentz condition, we can manipulate the Maxwell equations to reach (tarea):

$$\nabla^2 \vec{E} - \frac{1}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} = -\frac{1}{\epsilon_0} \left(-\vec{\nabla}\rho - \frac{1}{c^2} \frac{\partial \vec{J}}{\partial t} \right), \quad (15)$$

$$\nabla^2 \vec{B} - \frac{1}{c^2} \frac{\partial^2 \vec{B}}{\partial t^2} = -\mu_0 \vec{\nabla} \times \vec{J}, \quad (16)$$

which are both wave equations with source terms.

- Away from the sources, i.e. in vacuum, both equations become the homogeneous wave equation.

1.4 Poynting's theorem

- The power exerted by the electromagnetic force $\vec{F} = q\vec{v} \times \vec{B} + q\vec{E}$ on a single charge q with velocity \vec{v} is $\vec{v} \cdot \vec{F} = q\vec{v} \cdot \vec{E}$.
- The power exerted on the charge density distribution ρ and on the current density distribution $\vec{J} = \rho\vec{v}$ inside a volume $d\mathcal{V}$ is thus

$$dP = \vec{J} \cdot \vec{E} d\mathcal{V}$$

- The total power exerted by the (\vec{E}, \vec{B}) field on the charges inside a volume \mathcal{V} is

$$P = \int_{\mathcal{V}} \vec{J} \cdot \vec{E} d^3x. \quad (17)$$

- We want to connect P with the energy stored in the fields. Using the Ampère-Maxwell equation (Eq. 4) we solve for \vec{J} , and following standard handling (tare),

$$P = \int_{\mathcal{V}} \left[-\vec{\nabla} \cdot (\vec{E} \times \vec{H}) + \vec{H} \cdot (\vec{\nabla} \times \vec{E}) - \vec{E} \cdot \frac{\partial \vec{D}}{\partial t} \right] d^3x. \quad (18)$$

- Now with the induction law, $\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$ (Eq. 3),

$$P = \int_{\mathcal{V}} \left[-\vec{\nabla} \cdot (\vec{E} \times \vec{H}) - \vec{H} \cdot \frac{\partial \vec{B}}{\partial t} - \vec{E} \cdot \frac{\partial \vec{D}}{\partial t} \right] d^3x. \quad (19)$$

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- Remembering that for a linear medium $\vec{H} \cdot \frac{\partial \vec{B}}{\partial t} = \frac{1}{2} \frac{\partial}{\partial t} (\vec{H} \cdot \vec{B})$, and $\vec{E} \cdot \frac{\partial \vec{D}}{\partial t} = \frac{1}{2} \frac{\partial}{\partial t} (\vec{E} \cdot \vec{D})$, we reach

$$P = \int_{\mathcal{V}} \vec{J} \cdot \vec{E} d^3x = - \int_{\mathcal{V}} \left[\frac{\partial u}{\partial t} + \vec{\nabla} \cdot \vec{S} \right] d^3x, \quad (20)$$

where we recognize

$$u = \frac{1}{2} \vec{E} \cdot \vec{D} + \frac{1}{2} \vec{B} \cdot \vec{H}, \quad (21)$$

and

$$\vec{S} = \vec{E} \times \vec{H}. \quad (22)$$

- For any volume \mathcal{V} , we conclude that

$$\frac{\partial u}{\partial t} + \vec{\nabla} \cdot \vec{S} = -\vec{J} \cdot \vec{E}. \quad (23)$$

.11

- In the same way as for energy conservation, Eq. 23, we can also write the equation for the conservation of linear momentum. Newton's 2nd law for the variation of linear momentum $\delta \vec{p}_{\text{mec}}$ inside a volume $\delta \mathcal{V}$ is:

$$\frac{d \delta \vec{p}_{\text{mec}}}{dt} = \rho \vec{E} \delta \mathcal{V} + \rho \vec{v} \times \vec{B} \delta \mathcal{V}. \quad (24)$$

- In total,

$$\frac{d \vec{p}_{\text{mec}}}{dt} = \int_{\mathcal{V}} d^3x (\rho \vec{E} + \rho \vec{v} \times \vec{B}). \quad (25)$$

.12

- Using Maxwell's equation to replace ρ and \vec{J} , we reach (tarea):

$$\frac{d}{dt}(\vec{p}_{\text{mec}} + \vec{p}_{\text{fields}})|_i = \sum_j \int_{\mathcal{V}} d^3x \frac{\partial T_{ij}}{\partial x_j}, \quad (26)$$

with the following notations:

$$\vec{p}_{\text{fields}} = \int \epsilon_0 (\vec{E} \times \vec{B}) d^3x = \frac{1}{c^2} \int d^3x \vec{S}, \quad (27)$$

which we associate to the momentum in the fields since it fulfills a similar role as \vec{p}_{mec} , and

$$T_{ij} = \epsilon_0 \left[E_i E_j + c^2 B_i B_j - \frac{1}{2} (\vec{E} \cdot \vec{E} + c^2 \vec{B} \cdot \vec{B}) \delta_{ij} \right], \quad (28)$$

which is the tensor of electromagnetic tensions.

.13

- For each component i the integrand of Eq. 26 (involving T_{ij}) can be seen as a divergence, so

$$\frac{d}{dt}(\vec{p}_{\text{mec}} + \vec{p}_{\text{fields}})|_i = \oint_S \sum_j T_{ij} n_j d\mathcal{A}, \quad (29)$$

where we recognize a flux integral over the surface bounding the volume \mathcal{V} .

.14

2 Wave propagation in vacuum

2.1 Spectral decomposition

- In the absence of sources, if we decompose

$$\vec{E}(\vec{x}, t) = \frac{1}{2\pi} \int d\omega \vec{E}(\vec{x}, \omega) e^{i\omega t}, \quad (30)$$

the Maxwell equations yield

$$(\nabla^2 + \mu\epsilon\omega^2) \begin{Bmatrix} \vec{E} \\ \vec{B} \end{Bmatrix} = 0. \quad (31)$$

- If ϵ and μ are both real, the solutions are $e^{\pm i k x}$, with $k = \sqrt{\mu\epsilon}\omega$
- We define the phase velocity $v_\phi = \frac{\omega}{k} = \frac{c}{n}$, where $n = \sqrt{\frac{\mu\epsilon}{\mu_0\epsilon_0}}$ is the refraction index.
- In general,

$$\begin{Bmatrix} E_i \\ B_i \end{Bmatrix} = \frac{1}{2\pi} \int d\omega \begin{Bmatrix} \mathcal{E}_i \\ \mathcal{B}_i \end{Bmatrix} e^{\pm i \vec{k} \cdot \vec{x} - i\omega t}, \quad (32)$$

.15

- We recognize d'Alembert's solution for the wave equation,

$$\begin{Bmatrix} E_i \\ B_i \end{Bmatrix} = \frac{1}{2\pi} \int d\omega \begin{Bmatrix} \mathcal{E}_i \\ \mathcal{B}_i \end{Bmatrix} e^{\pm ik(\hat{n} \cdot \vec{x} - v_\phi t)}, \quad (33)$$

where each component i has a form $f(\hat{n} \cdot \vec{x} - v_\phi t) + g(\hat{n} \cdot \vec{x} + v_\phi t)$, and where \hat{n} is the direction of propagation.

- Using Maxwell's equations (tarea), $\hat{n} \cdot \vec{\mathcal{E}} = 0$, $\hat{n} \cdot \vec{\mathcal{B}} = 0$ and $\vec{\mathcal{B}} = \frac{n}{c} \hat{n} \times \vec{\mathcal{E}}$.

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- For harmonic fields it is customary to use complex notation (because of the spectral decomposition), so that $\vec{S} = \Re(\vec{E}) \times \Re(\vec{H})$.
- In general for products of the type

$$\Re(ae^{-i\omega t})\Re(be^{-i\omega t}) = \frac{1}{2}\Re(a^*b + abe^{-2i\omega t}), \quad (34)$$

it is also customary to take time averages $\langle(\dots)\rangle_T = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T (\dots) dt$, and (tarea)

$$\langle\Re(ae^{-i\omega t})\Re(be^{-i\omega t})\rangle = \frac{1}{2}\Re(a^*b). \quad (35)$$

.17

- We therefore have

$$\langle\vec{S}\rangle = \frac{1}{2}\vec{E} \times \vec{H}^* = \frac{1}{2}\sqrt{\frac{\epsilon}{\mu}}|\mathcal{E}|^2\hat{n}. \quad (36)$$

And similarly,

$$\langle u \rangle = \frac{1}{4}(\epsilon\vec{E} \cdot \vec{E}^* + \frac{1}{\mu}\vec{B} \cdot \vec{B}^*) = \frac{\epsilon}{2}|\mathcal{E}|^2. \quad (37)$$

- Finally, $\langle\vec{S}\rangle = v_\phi u \hat{n}$.

.18

2.2 Connection with radiative transfer

- We can now see that the concept of rays associated to the radiative transfer equation, which describes the transport of radiation in a straight line, is connected to the idea of a plane monochromatic wave with direction of propagation \vec{k} .
- For a plane wave $\langle\vec{S}\rangle = v_\phi u \hat{k}$ is the flux of energy in direction \hat{k} .
- In radiative transfer notation, the flux density in direction k_o would be

$$F_\nu(\vec{x}) = \int d\Omega I_\nu(\hat{k}, \vec{x}) \hat{k} \cdot \hat{k}_o. \quad (38)$$

- Therefore the specific intensity field for a monochromatic plane wave is

$$I_\nu(\hat{k}) = \|\vec{S}_\nu\| \delta(\hat{k} - \hat{k}_o). \quad (39)$$

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2.3 Polarization

- In summary, the electric field of a monochromatic wave can be decomposed in two linearly polarized waves,

$$\vec{E}(\vec{x}, t) = (\hat{e}_1 E_1 + \hat{e}_2 E_2) e^{i(\vec{k} \cdot \vec{x} - \omega t)}, \quad (40)$$

whose total describes, in general, an elliptically polarized wave.

- With a change of vectorial basis to $\hat{e}_{\pm} = \frac{1}{\sqrt{2}}(\hat{e}_1 \pm i\hat{e}_2)$, we can also decompose \vec{E} in two circularly polarized waves,

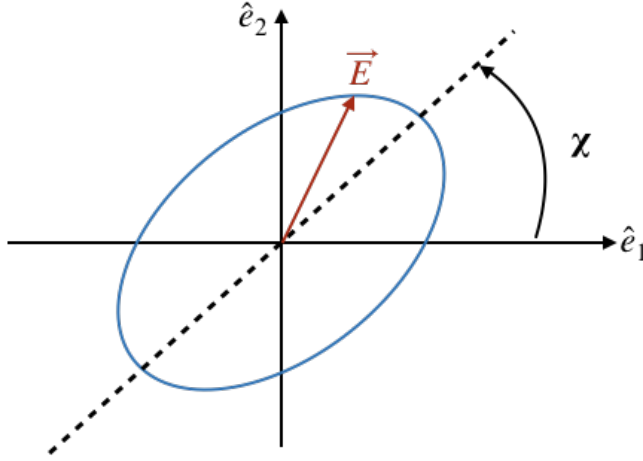
$$\vec{E}(\vec{x}, t) = (\hat{e}_+ E_+ + \hat{e}_- E_-) e^{i(\vec{k} \cdot \vec{x} - \omega t)}. \quad (41)$$

- With the notation

$$\begin{aligned} E_1 &= \mathcal{E}_1 e^{i\phi_1}, & E_2 &= \mathcal{E}_2 e^{i\phi_2}, \\ E_+ &= \mathcal{E}_+ e^{i\phi_+}, & E_- &= \mathcal{E}_- e^{i\phi_-}, \end{aligned}$$

we have

$$\begin{cases} \text{linear polarization : } \phi_2 - \phi_1 = 0. \\ \text{circular polarization : } |\phi_2 - \phi_1| = \frac{\pi}{2} \text{ and } \mathcal{E}_2 = \mathcal{E}_1. \\ \text{the general case is elliptical, with : } \tan(\chi) = \frac{\mathcal{E}_1 \cos(\phi_1)}{\mathcal{E}_2 \cos(\phi_2)}. \end{cases}$$



- It is customary to use the Stokes parameters to characterize the polarization state of **monochromatic light**:

$$\begin{aligned} I &= E_1 E_1^* + E_2 E_2^* = \mathcal{E}_1^2 + \mathcal{E}_2^2, \\ Q &= E_1 E_1^* - E_2 E_2^* = \mathcal{E}_1^2 - \mathcal{E}_2^2, \\ U &= E_1 E_2^* - E_2 E_1^* = 2\mathcal{E}_1 \mathcal{E}_2 \cos(\phi_2 - \phi_1), \\ V &= i(E_1 E_2^* - E_2 E_1^*) = 2\mathcal{E}_1 \mathcal{E}_2 \sin(\phi_2 - \phi_1). \end{aligned} \quad (42)$$

- We see that Stokes I (the total “radiance”) is $I \propto |\vec{S}|$, Q and U measure linear polarization, while V measure circular polarization. In order to make this obvious it is best to use mental experiments with polarizers that select specific types of polarization (see class).
- For a strictly monochromatic wave, it follows that

$$I^2 = Q^2 + U^2 + V^2. \quad (43)$$

.22

2.4 Quasi-monochromatic waves

- In order to obtain $\vec{E}(\vec{x}, \omega)$, we need to know $\vec{E}(t)$ for all t , since

$$\vec{E}(\vec{x}, \omega) = \int_{-\infty}^{+\infty} \vec{E}(\vec{x}, t) e^{i\omega t} dt. \quad (44)$$

- So in practice, we treat E_1 and E_2 as random variables, i.e. for a wave in vacuum, described by Eq. 40,

$$\vec{E}(\vec{x}, t) = (E_1(t)\hat{e}_1 + E_2(t)\hat{e}_2) e^{i(\vec{k}\cdot\vec{x} - \omega t)}. \quad (45)$$

Alternatively we can also replace the time dependence in Eq. 45 with a probability density, which itself may depend on time.

- To fix ideas, let's remember that $\Delta t \Delta \omega = 1$ for Gaussian spectra, where Δt is the ‘coherence time’, and $\Delta \omega$ is the ‘bandwidth’ of the quasi-monochromatic wave.

.23

- In order to measure the Stokes parameters, we need averages of the kind

$$\langle E_1 E_2^* \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int dt E_1(t) E_2^*(t) dt. \quad (46)$$

- We therefore have

$$\begin{aligned} \langle Q^2 \rangle + \langle U^2 \rangle + \langle V^2 \rangle &= \langle I^2 \rangle - \\ &4(\langle \mathcal{E}_1^2 \rangle \langle \mathcal{E}_2^2 \rangle - \langle \mathcal{E}_1 \mathcal{E}_2 e^{i(\phi_2 - \phi_1)} \rangle \langle \mathcal{E}_1 \mathcal{E}_2 e^{-i(\phi_2 - \phi_1)} \rangle) \\ &= \langle I^2 \rangle - \\ &4(\langle \mathcal{E}_1^2 \rangle \langle \mathcal{E}_2^2 \rangle - \langle \mathcal{E}_1^2 \mathcal{E}_2^2 \cos^2(\phi_2 - \phi_1) \rangle + \langle \mathcal{E}_1^2 \mathcal{E}_2^2 \sin^2(\phi_2 - \phi_1) \rangle), \end{aligned} \quad (47)$$

and, by Schwartz' inequality ($\langle ab \rangle \geq \langle a \rangle \langle b \rangle$),

$$I^2 \geq Q^2 + U^2 + V^2. \quad (48)$$

.24

- For a wave with a single and constant elliptical polarization state, then the equality holds in Eq. 48.
- On the other hand, for a completely unpolarized wave, $Q = U = V = 0$.
- The Stokes parameters are additive. Proof: consider a sum of N different waves

$$\vec{E} = \sum_{k=1}^N \vec{E}^k = \sum (\hat{e}_1 E_1^k + \hat{e}_2 E_2^k) e^{i(\vec{k} \cdot \vec{x} - \omega t)}. \quad (49)$$

Because each $E_i^k(t)$ is statistically independent, $\langle E_i^k E_j^{l*} \rangle = \delta_{kl} \langle E_i^k E_j^{k*} \rangle$, and

$$\begin{pmatrix} I \\ Q \\ U \\ V \end{pmatrix} = \sum_k \begin{pmatrix} I_k \\ Q_k \\ U_k \\ V_k \end{pmatrix}. \quad (50)$$

- We can therefore decompose an arbitrary set of Stokes parameters in

$$\begin{pmatrix} I \\ Q \\ U \\ V \end{pmatrix} = \overbrace{\begin{pmatrix} I - \sqrt{Q^2 + U^2 + V^2} \\ 0 \\ 0 \\ 0 \end{pmatrix}}^{\text{unpol}} + \overbrace{\begin{pmatrix} \sqrt{Q^2 + U^2 + V^2} \\ Q \\ U \\ V \end{pmatrix}}^{\text{pol}}. \quad (51)$$

- The first term ‘unpol’ is completely unpolarized since $Q = U = V = 0$, while the second term ‘pol’ is completely polarized since it satisfies $I^2 = Q^2 + U^2 + V^2$ (Eq. 43).

- The total polarized intensity of a wave train is thus be $I^{\text{pol}} = \sqrt{Q^2 + U^2 + V^2}$.
- We define the polarization fraction as

$$\Pi = \frac{I^{\text{pol}}}{I}. \quad (52)$$

3 Wave propagation in a medium

3.1 Constitutive equations

- Each monochromatic component of the field \vec{E}, \vec{B} must fulfill the following constitutive relations:

$$\begin{aligned}\vec{P} &= \epsilon_0 \chi \vec{E} &\longrightarrow & \vec{P}(\omega) = \epsilon_0 \chi(\omega) \vec{E}(\omega), \\ \vec{B} &= \mu \vec{H} &\longrightarrow & \vec{B}(\omega) = \mu(\omega) \vec{H}(\omega), \\ \vec{J} &= \sigma \vec{E} &\longrightarrow & \vec{J}(\omega) = \sigma(\omega) \vec{E}(\omega),\end{aligned}\quad (53)$$

in which we have added Ohm's law.

- We note that $\chi(-\omega) = \chi^*(\omega)$, so that $\chi(t) = \frac{1}{2\pi} \int d\omega \chi(\omega) \exp(-i\omega t)$ be real (and similarly for μ and σ).

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- The Fourier convolution theorem states that if $X(\omega) = Y(\omega)Z(\omega)$, then

$$X(t) = \int_{-\infty}^{\infty} Y(t-t')Z(t')dt', \quad (54)$$

where $Y(t) = \frac{1}{2\pi} \int d\omega Y(\omega) \exp(-i\omega t)$, etc..

- Applying the convolution theorem to χ (for example),

$$P(t) = \int_{-\infty}^{\infty} G(t-t')E(t')dt', \text{ with}$$

$$G(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \epsilon_0 \chi(\omega) e^{-i\omega t} d\omega. \quad (55)$$

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- We see that $P(t)$ depends on the history of $\vec{E}(t')$, which bears physical sense only in the past, for $t' < t$, so $G(t) = 0$ if $t < 0$. We will use this property in the next section.
- This time we write the monochromatic wave as

$$\vec{E}(t) = \vec{A} \cos(\omega_0 t) + \vec{B} \sin(\omega_0 t) = \Re(\vec{E}_c(t)), \quad (56)$$

with $\vec{E}_c = (\vec{A} - i\vec{B})(\cos(\omega_0 t) + i\sin(\omega_0 t))$.

- In the Fourier plane,

$$E(\omega) = \pi [(A + iB)\delta(\omega - \omega_0) + (A - iB)\delta(\omega + \omega_0)]. \quad (57)$$

- We can evaluate

$$\begin{aligned}P(t) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \epsilon_0 \chi(\omega) E(\omega) e^{-i\omega t} d\omega \\ &= \Re \left[\frac{\epsilon_0}{2} (A - iB) \chi(\omega_0) e^{-i\omega_0 t} \right] = \Re[P_c(t)],\end{aligned}\quad (58)$$

using $\chi(-\omega) = \chi^*(\omega)$, and where $P_c = \epsilon_0 \chi(\omega_0) E_c(t)$.

.30

- With the spectral decomposition of the constitutive relations we can rewrite the Maxwell equations in their harmonic versions. In the absence of free charges,

$$\begin{aligned}\vec{\nabla} \cdot \vec{E}(\omega) &= 0, & \vec{\nabla} \times \vec{E}(\omega) &= -i\omega\mu(\omega)\vec{H}(\omega), \\ \vec{\nabla} \cdot \vec{H}(\omega) &= 0, & \vec{\nabla} \times \vec{H}(\omega) &= -i\omega\epsilon(\omega)\vec{E}(\omega),\end{aligned}\quad (59)$$

where (tarea)

$$\epsilon(\omega) = \epsilon_o(1 + \chi(\omega)) + i\frac{\sigma(\omega)}{\omega}. \quad (60)$$

- Note that both susceptibility and conductivity contribute to the imaginary part of ϵ :

$$\Im(\epsilon) = \epsilon_o\Im(\chi) + \Re(\sigma/\omega). \quad (61)$$

.31

3.2 Kramers-Kronig relations

- From physical considerations we can anticipate that the induced $P(t)$ depends on the history of the applied field, or

$$\vec{P}(t) = \int_{-\infty}^{\infty} G(t, t')\vec{E}(t')dt' \quad (62)$$

(note difference with Eq. 55).

- Let's assume that $\vec{E} = \delta(t - t_o)\vec{E}_o$. Then $\vec{P}(t) = G(t, t_o)\vec{E}_o$, and G is the polarization resulting from a delta-unitary electric field.
- If the properties of the medium do not change in time, $G(t, t_o) = G(t - t_o)$, and we recover Eq. 55.
- Causality requires that $G(\tau) = 0$ if $\tau < 0$, so

$$\epsilon_o\chi(\omega) = \int_0^{\infty} dt G(t)e^{i\omega t}. \quad (63)$$

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- We extend Eq. 63 to the complex plane with $\tilde{\omega} = \omega_R + i\omega_I$, where $\omega_I > 0$.

$$\epsilon_o\chi(\tilde{\omega}) = \int_0^{\infty} dt G(t)e^{i\tilde{\omega}t}. \quad (64)$$

- If $\int_0^{\infty} |G(t)|dt$ converges, so does $\int_0^{\infty} G(t)e^{i\tilde{\omega}t}dt$, and $\chi(\tilde{\omega})$ is analytical in the superior \mathbb{C} plane ($\omega_I > 0$).
- Therefore $\chi(\tilde{\omega})/(\tilde{\omega} - \omega)$ is analytical except in the pole $\tilde{\omega} = \omega$, where ω is a point along the real axis.

- We can apply the Kramers-Kronig theorem (proof: see Bohren & Huffman, Sec. 2.3.2), which gives

$$i\pi\chi(\omega) = P \int_{-\infty}^{\infty} \frac{\chi(\Omega)}{\Omega + \omega} d\Omega, \quad (65)$$

where P indicates Cauchy's 'principal value'

$$P \int_{-\infty}^{\infty} \frac{\chi(\Omega)}{\Omega + \omega} d\Omega = \lim_{a \rightarrow 0} \left(\int_{-\infty}^{\omega-a} \frac{\chi(\Omega)}{\Omega + \omega} d\Omega + \int_{\omega+a}^{\infty} \frac{\chi(\Omega)}{\Omega + \omega} d\Omega \right). \quad (66)$$

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- Using that $\chi^*(\Omega) = \chi(-\Omega)$ we can restrict the integration to $\Omega > 0$, and use $\chi = \chi_R + i\chi_I$ to rewrite Eq. 66:

$$\chi_R(\omega) = \frac{2}{\pi} P \int_0^{\infty} \frac{\Omega \chi_I(\Omega)}{\Omega^2 - \omega^2} d\Omega, \quad (67)$$

$$\chi_I(\omega) = -\frac{2\omega}{\pi} P \int_0^{\infty} \frac{\chi_R(\Omega)}{\Omega^2 - \omega^2} d\Omega. \quad (68)$$

- Similar relationships exists for μ y σ .

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3.3 Monochromatic waves

- We now extend the monochromatic waves to homogeneous media. We inject

$$\vec{E}_c = \vec{E}_o e^{i(\vec{k} \cdot \vec{x} - \omega t)}, \text{ and } \vec{H}_c = \vec{H}_o e^{i(\vec{k} \cdot \vec{x} - \omega t)}, \quad (69)$$

into Maxwell's equations.

- Allowing for $\vec{k} \in \mathbb{C}$, $\vec{k} = \underbrace{(k_R + ik_I)}_k \hat{e}$,

$$\vec{E}_c = \vec{E}_o e^{-\vec{k}_I \cdot \vec{x}} e^{i(\vec{k}_R \cdot \vec{x} - \omega t)}. \quad (70)$$

- The harmonic Maxwell equations (Eqs. 59) yield:

$$\begin{aligned} \vec{k} \cdot \vec{E}_o &= 0 & \vec{k} \cdot \vec{H}_o(\omega) &= 0 \\ \vec{k} \times \vec{E}_o &= \omega \mu \vec{H}_o, & \vec{k} \times \vec{H}_o &= -\omega \epsilon \vec{E}_o. \end{aligned} \quad (71)$$

- And with $\vec{k} \cdot \vec{k} = \omega^2 \epsilon \mu$,

$$k_R^2 - k_I^2 + 2ik_I \cdot \vec{k}_R = \omega^2 \epsilon \mu \text{ (tarea)}. \quad (72)$$

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- For a homogeneous wave (no free charges),

$$\vec{k} = \underbrace{(k_R + ik_I)}_k \hat{e},$$

and $k = \omega N/c$, where N is the complex refractive index,

$$N = c\sqrt{\epsilon\mu} = \sqrt{\frac{\epsilon\mu}{\epsilon_o\mu_o}}.$$

- We set $N = n + i\kappa$, where n and κ are both $\in \mathbb{R}^+$.
- Eq. 70 gives:

$$\vec{E}_c = \vec{E}_o e^{-\frac{2\pi}{\lambda}\kappa z} e^{i(\frac{2\pi n z}{\lambda} - i\omega t)}. \quad (73)$$

- \Rightarrow the imaginary part of N corresponds to absorption.

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- We can apply the Kramers-Kronig relations to $(N(\omega) - 1)$ (the -1 is motivated by $\lim_{\omega \rightarrow \infty} N(\omega) = 1$):

$$\begin{aligned} n(\omega) - 1 &= \frac{2}{\pi} P \int_0^\infty \frac{\Omega \kappa(\Omega)}{\Omega^2 - \omega^2} d\Omega \\ \kappa(\omega) &= -\frac{2\omega}{\pi} P \int_0^\infty \frac{n(\Omega)}{\Omega^2 - \omega^2} d\Omega \end{aligned} \quad (74)$$

- We see that the absorption in a medium is also related to the real refractive index.

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